

Building Policies for a Better World

# **Tracking Implementation of Building Energy Codes & Certification**

### **Types of Code & Certification**

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### **Overview**

- Building Energy Codes & Certification in Context
- Types of Codes & Compliance
- Types of Certification



# **Building Energy Codes Context**

### STRATEGY

- Building Energy Efficiency Codes
- Policy Targets: Low-zero & positive energy buildings
- Integrated Planning & Design, district heating-cooling
- Building Design: Bio-Climatic, Bio-Positive, Adaptive, Resilience & integrated solar thermal & P.V.
- High-Efficiency envelope, heating & cooling technologies
- Compliance enforcement, monitoring and reporting
- Life-cycle Approach to greening the Value-Chain, labeling & MEPS
- Behavior Change





- <50% of legally req.
- US\$+50 to -\$250/MtCO<sub>2</sub>
- <40%

Source: IPCC AR5

# **Types of Code & Compliance**

### **Prescriptive:**

- Set specific energy performance requirements on individual building components (i.e. walls, ceiling, insulation, ventilation and windows)
- Compliance is predominantly checked through reviewing the building design against prescribed requirements.

### Simple Trade-Off:

- Specific rules on overall performance values such as U-Value to typically to allow trade-offs between elements of the building envelope such as trading off less efficient insulation for more efficient windows.
- Compliance is predominantly checked through reviewing the building design against prescribed requirements or compliance tools that help to calculate the overall mandatory performance level is being achieved. Points systems are also sometimes used where points are assigned, depending on the components used.

# **Types of Code & Compliance**

### **Performance-Based:**

- Typically sets an energy performance requirement for the whole building such as kWh/m2. A proposed design is run in building energy simulation software to simulate energy use, which is compared either to a reference building or to a specified target
- Compliance is commonly checked by comparing the predicted energy performance of a design with a model of energy use in a reference building of a certain type.

### **Outcome-Based Code:**

- Requires demonstration that the actual energy use of a building in operation meets the energy performance requirements of the code. Few full-scale examples of this as yet.
- Compliance could be recognized through the awarding of energy performance certificates, or through mandatory disclosure programs.

# **Implementation Process**



- Local governments play a key role in enforcing the building energy codes.
- Codes have become more stringent and complex over time, which can make implementation more difficult – important to keep it simple
- Compliance software can be an important tool to mainstream compliance.
- Building material testing, rating and labeling constitute an important component of any building energy code system.

**Commissioning:** End-ofpipe tests check for proper installation & compliant operation

- Blower-door tests (U.S. for commercial buildings; France)
- Commissioning of HVAC equipment
- Energy auditing requirements (Korea)

# Energy Performance Assessment & Certification

|                                      | Australia     | Canada           | China  | E.U.                  | U.S.                              |  |
|--------------------------------------|---------------|------------------|--------|-----------------------|-----------------------------------|--|
| Assessment<br>system                 | Rating        | Labeling         | Rating | Certification         | Benchmarking;<br>Rating<br>Rating |  |
| Evaluation<br>methodology            | Rating        | Rating           | Rating | Rating                |                                   |  |
| Result of evaluation                 | Rating; Score | Rating;<br>Score | Rating | Class; Rating         | Rating; Score                     |  |
| Physical<br>product of<br>assessment | Label         | Label            | Label  | Label;<br>Certificate | Label; Rating;<br>Statement       |  |

No international consensus on terminology or methodology.



*"...overarching frameworks which govern the evaluation, comparison, and labeling of a building's energy efficiency are called "performance assessment systems".* 

"Performance," usually expressed as relative efficiency, refers to the responsible use of energy.

"Rating" refers to the methodology or tool used for the efficiency evaluation;

The result of such an evaluation is a "**score**". The physical product relating this score is a building "**label**" – or Certificate. Source: IMT, 2013

# **Basic Components**

All certification systems have **6** basic components:

- 1. Quantification of Energy consumption (Measured or Simulated)
- 2. Energy Measurement Methodology (Total, Delivered or Final Energy)
- 3. Floor Area (Conditioned or Conditioned + Unconditioned) (Rentable, Gross or Net)
- 4. Building Type (New/Existing; Pubic/Private; Residential SF or MF/Non-Residential
- 5. Comparability Metric (Absolute Reference eg zero kWh/m2 or Relative to code/average etc)
- 6. End Uses (HVAC, Lighting, Plug Loads, DHW etc)

### **Example Rating & Disclosure: Australia**





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## **Tracking Implementation of Building Energy Codes & Certification**

### **On-Line Tools to Support Policy Development**

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### **Online Policy Tools**



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#### **DATABASES & TOOLS**

POLICY TOOL FOR RENOVATION



BUILDING ENERGY PERFORMANCE SCENARIOS



DATAHUB FOR EUROPE





POLICY TOOL FOR NEW BUILDINGS

#### RATING POLICIES



### LABORATORY: PROJECTS

#### BUILDING ENERGY CODES PORTAL



**a**D

The Building Energy Codes Portal supports efficient international knowledge exchange on building energy code implementation by... Read more

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#### Get involved in the Laboratory

BLOG

BUILDING THE PATH TO 1.5°C: WHAT THE PARIS AGREEMENT MEANS FOR BUILDINGS & CONSTRUCTION

13-12-2015 | PETER GRAHAM | GLOBAL



The Paris Agreement on Climate Change is a historic achievement for humanity and for the Building...

#### Read more

THE BUILDING SECTOR'S CONTINUOUS 'VOLKSWAGEN' MOMENT

14-10-2015 | PETER GRAHAM | GLOBAL



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A couple of weeks ago I had the privilege of catching up with one of the hero's of the VW

### www.gbpn.org

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# **Implementing Actions**

**1.** Stock Taking



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- 2. Scenario analysis & Goal setting
- **3. Implementation Support & Capacity Building**

### **Stock-Taking**



### EU – Building Stock & Code Data



SHARING TRANSPARENCY FOR A MORE EFFICIENT FUTURE International Rating & Disclosure Policy Database

# Scenario Analysis & Goal Setting

#### BUILDING ENERGY PERFORMANCE SCENARIOS



This tool enables you to interactively compare your situation with modeled data for three possible energy mitigation scenarios for the building sector, globally and per region up to 2050. Enables analysis by building type, vintage & climate zone.

Includes open source data-sets.

Coming Soon:



MRV Base-Line & Scenario Tool for Building Energy Related GHG emissions

### Implementation Support & Capacity Building

#### POLICY TOOL FOR NEW BUILDINGS



#### POLICY TOOL FOR RENOVATION







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# Thank you! Let's stay in touch ...

Consult our web site: www.gbpn.org Follow us on Twitter: @GBPNetwork

Send us an email: pg@gbpn.org



Towards a Global Alliance for Buildings and Construction **BUILDINGS DAY** 

At COP 21 3 December 2015



### Building Energy Codes Portal www.gbpn.org/laboratory/building-energy-codes-portal

Lab Pages



| Country Information Sheets |
|----------------------------|
| Directory of Experts       |
| Supporting Resources       |

Welcome to the Building Energy Codes Portal





### **Best Codes still struggle to Implement**

| Globo  | al Buildings Performance Network                          |                    |                            |                          | _   |          |   |                 |                  |
|--------|---|--------------------|----------------------------|--------------------------|---|----------|---|-----------------|------------------|
| Holi   | stic Approach   | Dyn                | amic Process               | Impl                     | ementation  | Тес      | hnical Requirements                       | Over            | rall Performance |
|        | Performance Approach                                      |                    | Zero Energy Target         | ਂ                        | Enforcement Standards   |          | Building Shell                            |                 | On-site energy   |
|        | Includes All Energy                                       |                    | Revision Cycle             | ਂ                        | Certification   |          | Technical Systems                         |                 | Primary Energy   |
|        | Energy Efficiency & Renewable Energy                      |                    | Levels Beyond Minimum      | V                        | Policy Packages   |          | Renewable Energy Systems                  |                 | GHG Emissions    |
| Rating | Sort <u>alphabetically</u>   <b>By score</b>              |                    |                            |                          |   | (        | Deselect X Reset 🧲                        | Share           | Criteria ? Help  |
| 10 -   |   |                    |                            |                          |   |          |   |                 |                  |
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| з —    |   |                    |                            |                          |   |          |   | 15              | 7. X K -         |
| 2 —    | • Define a clear go                                       | ver                | nance structure            | e ar                     |   | arr      | angement                                  |                 |                  |
| 1 —    | <ul> <li>Define funding m</li> </ul>                      | nec                | hanisms to secu            | re                       | financial resour  | ces      |   |                 | K                |
| 0 —    | <ul> <li>Decide on compl</li> </ul>                       | ian                | ce and evaluation          | on                       | methodologies   | an       | d indicators                              |                 |                  |
|        | Involve stakehol  | der                | s and market-ad            | cto                      | rs  |          |   |                 |                  |
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### **GBPN** Best Codes are revised toward targets

| GIODO             | Global Buildings Performance Network         |                 |                       |                |                          |                        |                          |                         |                    |
|-------------------|--|-----------------|-----------------------|----------------|--------------------------|------------------------|--------------------------|-------------------------|--------------------|
| Holistic Approach |  | Dynamic Process |                       | Implementation |                          | Technical Requirements |                          | Overall Performance     |                    |
|                   | Performance Approach                         | ਂ               | Zero Energy Target    |                | Enforcement Standards    |                        | Building Shell           |                         | On-site energy     |
|                   | Includes All Energy                          | V               | Revision Cycle        |                | Certification            |                        | Technical Systems        |                         | Primary Energy     |
|                   | Energy Efficiency & Renewable Energy         | Ø               | Levels Beyond Minimum |                | Policy Packages          |                        | Renewable Energy Systems |                         | GHG Emissions      |
| Duptan<br>10      | Sort <u>alphabetically</u>   <b>By score</b> |                 | Best perform          | ing            | g jurisdictions se       | et L                   | Deselect X Reset <       | <sup>Share</sup><br>tS, | Criteria ? Hel     |
| 9 —               |  |                 | implementat           | ior            | n road-maps and          | l sc                   | heduled revisio          | ns                      | – this             |
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### **Best Codes take a Holistic Approach**

| Holis              | stic Approach                                    | Dynamic Process                            | Implementation                          | Technical Requirements          | Overall Performance                         |
|--------------------|--|--|---|---------------------------------|---|
| V                  | Performance Approach                             | Zero Energy Target                         | Enforcement Standards                   | Building Shell                  | On-site energy                              |
| Ø                  | Includes All Energy                              | Revision Cycle                             | Certification                           | Technical Systems               | Primary Energy                              |
|                    | Energy Efficiency & Renewable Energy             | Levels Beyond Minimum                      | Policy Packages                         | Renewable Energy Systems        | GHG Emissions                               |
| Rating             | Sort <u>alphabetically</u>   <b>By score</b>     |  |   | Deselect X Reset                | Share Criteria ? Help                       |
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| 5 —                |  |  |   |                                 |   |
| 4 —                | Integrated desig                                 | n can increase sav                         | ing                                     |                                 |   |
| 3 —                | notential by abo                                 | ut 30% compared                            | with                                    |                                 |   |
| 2 —                | incremental ann                                  | roaches but reg                            |   |                                 | -   |
| 1 —                | supporting tools                                 | and data                                   |   |                                 |   |
| 0 —                |  |  |   |                                 | -   |
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## Best Practice Residential Renovation Policy Packages





# **6 Basic Components**



# **Key Observations**

- Countries increasingly recognize the need to strengthen implementation to achieve goals
- Codes have become more stringent and complex over time, which can make implementation more difficult – important to keep it simple
- Most jurisdictions require the review of building designs for compliance with the building energy code; some also inspect buildings to ensure code compliance; Post occupancy or 'outcomes-based' assessment is rarely practiced.

### **Common Elements of Implementation Systems**

- 1. Capacity Building and Education
- 2. Compliance Checking Systems: Design, Construction and Commissioning (Note: many jurisdictions only check building design, but growing understanding of need for more extensive, yet cost-effective checks to produce energy efficient buildings)
- **3. Compliance Checking Tools**: Mainstreaming Compliance Compliance-checking software, clear rules for simulation-based compliance User guides
- 4. Building Material Testing and Labelling Test protocols: tailor to local conditions (e.g. India) Independent labs Clear labels to make compliance easier
- 5. Evaluation of the Overall Process